IAP13 Rec'd PCT/PTO 12 FEB 2007

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO	ATTORNEY'S DOCKET NUMBER SON-3406							
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 CFR 1.5)						
		10/574,941						
INTERNATIONAL APPLICATION NO. PCT/JP05/015350	INTERNATIONAL FILING DATE 24 August 2005	PRIORITY DATE CLAIMED 30 August 2004						
TITLE OF INVENTION	TITLE OF INVENTION							
IMAGE-INFORMATION RECORDING	DEVICE AND IMAGE-INFORMATI	ON DISPLAY DEVICE						
APPLICANT(S) FOR DO/EO/US Mitsuhiro Hirabayashi et al.								
Applicant herewith submits to the United Sta	ates Designated/Elected Office (DO/EO	/US) the following items and other information:						
	ns concerning a submission under 35 U							
	ENT submission of items concerning a s							
	3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. The US has been elected (Article 3	31).							
L · ·	5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))							
	a. is attached hereto (required only if not communicated by the International Bureau).							
b. has been communicated by the International Bureau.								
c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
a. is attached hereto.								
b. has been previously submitted under 35 U.S.C. 154(d)(4).								
	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto (required only if not communicated by the International Bureau).								
b. have been communicated by the International Bureau.								
c. have not been made; however, the time limit for making such amendments has NOT expired.								
d. have not been made and will not be made.								
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT								
An English language translation of the annexes of the International Preliminary Examination Report under PC1 Article 36 (35 U.S.C. 371(c)(5)).								
Items 11 to 20 below concern docu	ment(s) or information included:							
1. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
12. x An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. A preliminary amendment.								
14. An Application Data Sheet under 37 CFR 1.76.								
15. A substitute specification.								
16. A power of attorney and/or change of address letter.								
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.								
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
1								

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION	PPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. 10/574,941 PCT/JP05/015350		ATTORNEY'S DOCKET NUMBER SON-3406					
20. x Other	items or informa	Assign		eet		·		
The foll	owing fees have	e been submitte				CALCULATION	S F	TO USEONLY
	•))		\$300	\$		
If the written opin	If the written opinion prepared by ISA/US or the international preliminary examination report				\$			
If the written opin IPEA/US i Search fee (37 C Internation International Sea previously				\$				
	TOTAL OF 21, 22	2 and 23 =				\$ 0.0	0	
sequer electro	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	_	RATE			
Surcharge of \$13	CAAR (/ / visiting and file count for experienting for at the eath or declaration			\$				
CLAIMS		MBER FILED	NUMBER EXTRA		RATE			
Total clair	ms	- 20 = 0 x 50.00		0.00				
Independent		- 3 = 0 x 200.00		0.0	0			
MULTIPLE DEP	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + TOTAL OF ABOVE CALCULATIONS =			\$ 0.0	0			
Applican	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							
	SUBTOTAL =			\$ 0.0	0			
Processing fee of claimed priority of	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$ 130.00				
	TOTAL NATIONAL FEE =			\$ 0.00				
Fee for recording by an appropriat	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$ 40.00				
			\$					
			TOTAL FEES ENCLOSED =			\$ 40.00		40.00
	·					refunded:	\$	
					· .	Amount to be charged	\$	170.00
11 AYPAGH 000000	04 180013 10	0574941						
130.00 D)A							

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 18-0013 in the amount of \$ 170.00 to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit 18-0013 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038 NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to privive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: URE Ronald P. Kananen RADER, FISHMAN & GRAUER PLLC 1233 20th Street, N.W. Ronald P. Kananen Suite 501 NAME Washington, DC 20036 (202) 955-3750 24,104 CUSTOMER NUMBER: 23353 REGISTRATION NUMBER

PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. ees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/574,941 April 7, 2006 FEE TRANSMITTAL Filing Date Mitsuhiro Hirabayashi First Named Inventor For FY 2006 **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 8513 Art Unit SON-3406 TOTAL AMOUNT OF PAYMENT 170.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Rader, Fishman & Grauer PLLC x Deposit Account Deposit Account Number: 18-0013 Deposit Account Name: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) x | Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of x Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES Small Entity Small Entity** Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) 200 100 Utility 300 150 500 250 200 100 100 50 130 65 Design 80 200 100 300 150 160 Plant 500 250 600 300 Reissue 300 150 Provisional 200 100 0 0 0 0 **Small Entity** 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description 25 Each claim over 20 (including Reissues) 50 200 100 Each independent claim over 3 (including Reissues) Multiple dependent claims 360 180 **Multiple Dependent Claims** Fee Paid (\$) **Total Claims Extra Claims** Fee Paid (\$) Fee (\$) 0 - 20 = HP = highest number of total claims paid for, if greater than 20. Fee Paid (\$) Extra Claims Indep. Claims 0 - 3 = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) Fee (\$) Number of each additional 50 or fraction thereof Extra Sheets (round up to a whole number) x - 100 = Fees Paid (\$) 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge) 8021 National Stage recording each patent assignment, ... 40.00 1051 Sorcharge-Late filing fee, search fee, examination ... 130.00 SUBMITTED BY Registration No. 24,104 Telephone (202) 955-3750 Signature Ronald P/Kanlanen Date February 12, 2007 Name (Print/Type)



Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. Dex 1450 Alexandria, Vinginia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/574,941

Mitsuhiro Hirabayashi

SON-3406

PRIORITY DATE

08/30/2004

INTERNATIONAL APPLICATION NO.

PCT/JP05/15350

RADER FISHMAN & GRAUER PLLC

LION BUILDING

1233 20TH STREET N.W., SUITE 501

WASHINGTON, DC 20036

CONFIRMATION NO. 8513

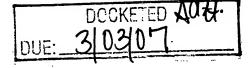
371 FORMALITIES LETTER

OC000000021805170

I.A. FILING DATE

08/24/2005

23353



Date Mailed: 01/03/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/07/2006
- English Translation of the IA filed on 04/07/2006
- Copy of the International Search Report filed on 04/07/2006
- Information Disclosure Statements filed on 04/07/2006
- Request for Immediate Examination filed on 04/07/2006
- U.S. Basic National Fees filed on 04/07/2006
- Priority Documents filed on 04/07/2006
- Non-English Language Application filed on 04/07/2006
- Specification filed on 04/07/2006
- Claims filed on 04/07/2006
- Abstracts filed on 04/07/2006
- Drawings filed on 04/07/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/Authenticate/SerLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/574,941	PCT/JP05/15350	SON-3406

FORM PCT/DO/EO/905 (371 Formalities Notice)